



Application for ballast water exemptions

Form approved under Chapter 5 of the *Biosecurity Act 2015*

Section A: General information

Purpose of this form	For ship owners to apply for exemptions from the requirement to: <ul style="list-style-type: none">• obtain an approved ballast water management plan• obtain an approved ballast water management certificate• meet the Regulation D-2 discharge standard of the International Convention for the Control and Management of Ships' Ballast Water and Sediments (Ballast Water Management Convention).
Eligibility	Vessels operating internationally are not eligible for these exemptions. Domestic vessels operating solely in the Australian Exclusive Economic Zone and on the high seas are eligible for exemptions. Vessels operating predominantly in the Australian Exclusive Economic Zone and that only travel outside the zone for dry docking may be eligible for exemption from the requirement to meet the Regulation D-2 discharge standard.
Before applying	See Australian ballast water management requirements for information about eligibility, approved ballast water management plans, ballast water management certificates and meeting the Regulation D-2 discharge standard. See Guidelines for ballast water management equivalent compliance (G3) for exemptions under Regulation A-5 of the Ballast Water Management Convention for recreational or search and rescue vessels less than 50 metres long with 8 cubic metres of ballast. See charging guidelines for information on fees that may apply to assessment of your application.
To complete this form	Electronically Save the PDF file to your computer. You need the latest version of Adobe Acrobat Reader to save changes and submit. Manually Use black or blue pen Print in BLOCK LETTERS Mark boxes with a tick or a cross
Your application must include	a completed and signed application form proof of legal entity showing the name of the business that owns the vessel profile of the vessel demonstrating: <ul style="list-style-type: none">• areas of ballast operations• operation solely in the Australian Exclusive Economic Zone or on the high seas if applicable, an approved ballast water management plan detailing ballast operations and methods if applicable, an approved ballast water management certificate
Post or email your application (emailed applications preferred)	Marine Pest Unit (Aquatics) Department of Agriculture and Water Resources GPO Box 858 Canberra ACT 2601 Phone 1800 900 090 (within Australia) +61 3 8318 6700 (outside Australia) Email pestsmarine@agriculture.gov.au

Section B: Applicant

1 Business name (legal entity name)

Australian Business Number (ABN)

Australian Company Number (ACN)

2 Business address

Street address (PO Box will not be accepted)

Suburb/town/city

State/territory

Postcode

Generic email address (if applicable)

3 Postal address (If the same as business address, write AS ABOVE)

Street address

Suburb/town/city

State/territory

Postcode

4 Person authorised to sign this form

Given name(s)

Family name

Work phone (include area code)

Mobile phone

Email

Fax

5 Do you agree to the department sharing your application details with the vessel's classification society? Select one box.

No

Yes

N/A

Section C: Vessel details

6 Complete all questions in this section.

Vessel name	IMO/Lloyd's number	
Call sign	Country of registration	
Vessel email		
Master's name	Vessel type	
Year built	Gross tonnage	Length (metres)
Ballast capacity m ³	Method of ballast management	

7 Where does the vessel operate? Select one or more boxes.

Solely in the Australian Exclusive Economic Zone

On the high seas

Outside the Australian Exclusive Economic Zone

Section D: Type of exemption required

If applying for more than one exemption, clearly label any attachments.

8 Exemption from requirement to obtain an approved ballast water management plan.

You do not need to apply if your vessel:

- has sealed tanks that only discharge for the purpose of scheduled maintenance, dry-docking or emergency
- is recreational and weighs less than 400 gross tonnes.

To apply for an exemption, explain why a ballast water management plan is not applicable or cannot be obtained.

For example, the vessel uses potable water and only discharges for scheduled maintenance, dry-docking or emergency or is a dumb barge that has no power source to pump water.

9 Exemption from requirement to obtain an approved ballast water management certificate.

You do not need to apply if your vessel is recreational and weighs less than 400 gross tonnes.

To apply for an exemption, select one box.

Domestic commercial vessel that weighs less than 400 gross tonnes

▶ Attach supporting information.

Vessel covered by equivalent compliance (Regulation A-5 of the Ballast Water Management Convention); includes recreational or search and rescue vessels less than 50 metres long with 8 cubic metres of ballast

▶ Attach supporting information.

Other

Provide vessel details

Explain why the vessel cannot obtain a certificate

▶ Attach supporting information.

10 Exemption from requirement to meet Regulation D-2 discharge standard of the Ballast Water Management Convention.

You do not need to apply if your vessel:

- uses only potable water solely in Australian waters
- is a dumb barge with no power source
- only discharges ballast water taken up in the same place (port limits or within 1 nautical mile of the uptake location)
- weighs less than 400 gross tonnes and is not required to obtain an International Oil Pollution Prevention Certificate. These vessels will not need to meet the D-2 discharge standard until 2024.

To apply for an exemption, select one box.

Floating platform, floating storage unit or floating production, storage and offloading unit operating exclusively in Australian waters

▶ Attach supporting information.

Vessel covered by equivalent compliance (Regulation A-5 of the Ballast Water Management Convention); includes recreational or search and rescue vessels less than 50 metres long with 8 cubic metres of ballast

▶ Attach supporting information.

Unable to retrofit a ballast water management system because of legitimate design restrictions

Provide vessel details

▶ Attach supporting information.

Other

Provide vessel details

▶ Attach supporting information.

Section E: Applicant declaration

To be completed by the person listed in section B of this application.

I declare that the information I have provided is true and correct. I understand that it is a criminal offence under the *Criminal Code Act 1995* to knowingly give false or misleading information to a Commonwealth officer exercising powers under Commonwealth law. This offence carries a maximum penalty of 12 months' imprisonment.

I have read and understood the [privacy notice](#) and Privacy Policy.

Date (dd/mm/yyyy)

Signature (enter signature or type your name)

Full name

Section F: Privacy notice

'Personal information' means information or an opinion about an identified individual, or an individual who is reasonably identifiable. Personal information that is collected under or in accordance with the *Biosecurity Act 2015* is also 'protected information' under the Biosecurity Act.

The Department of Agriculture and Water Resources is collecting personal information in relation to this application under the Biosecurity Act for the purposes of assessing your application and related purposes. If you do not provide the relevant personal information requested in this application, the department may be unable to process your application. Information collected by the department will only be used or disclosed as authorised under the Biosecurity Act.

The department may disclose the personal information contained in this form to other Australian agencies, including the Australian Border Force, Department of Immigration and Border Protection and the Australian Maritime Safety Authority. The information will only be disclosed overseas if authorised under the Biosecurity Act.

See our [Privacy Policy](#) web page to learn more about accessing or correcting personal information or making a complaint. Alternatively, telephone the department on +61 6272 3933.