



Australian Government
**Department of Agriculture,
Water and the Environment**

Application for Export Permit and Health Certificate (Exports by Sea)

Official form approved under sections 65 and 239 of the *Export Control Act 2020*

Section A: General information

Purpose of this form	For licenced livestock exporters to apply for an export permit and health certificate to export livestock by sea.
Before applying	Ensure all animal preparation requirements have been completed or scheduled and you meet the prerequisites for an export permit and health certificate.
To complete this form	<p>Electronically You will need the latest version of Adobe Acrobat Reader to save changes to your document.</p> <p>Manually Use black or blue pen Print in BLOCK LETTERS Mark boxes with a tick or a cross.</p>
Processing fee	Application fees will apply – charging guidelines are available on the department’s website.
Your form must	Have all required sections completed (Section D – if applicable). Be submitted by a person in management or control of the export licence holder.
Submit your application	Upload your completed application form onto the Tracking Animal Certification for Export system (TRACE). If you cannot access TRACE, contact the department for alternative submission options. Email: livestockexp@awe.gov.au Phone: 02 6272 4581

Section B: Applicant details

1 Exporter and consignment details

Livestock export licence holder name

Livestock export licence number

Person in management or control submitting the application

LNC number

Consignment destination/s

2 Name and 24-hour contact details for my representative who will be present at loading:

Full name

24-hour contact number

24-hour contact email

3 Name and 24-hour contact details of a person/s in management or control who will be available to contact for the duration of loading (*if my representative is not in management or control*):

Full name

24-hour contact number

24-hour contact email

Section C: Consignment declarations

4 I declare that for this consignment

I have updated the Notice of Intention in TRACE to reflect any changes in the information it contains, including the most up to date information about loading, departure, arrival and discharge dates and times and about water deprivation times.

I have provided all the required documentation to the department (core documents and non-core documents as required), prior to or with this application.

I have complied with the terms of my approved arrangement and relevant export plan/s (or operations and governance manual if I hold an approved arrangement exemption as a small and infrequent exporter).

All operations to prepare the livestock for export in accordance with an approved arrangement (or operations and governance manual if I hold an approved arrangement exemption as a small and infrequent exporter) have been completed.

All relevant export operations in the approved export program held by the exporter under Part 4 of Chapter 9 of the *Export Control (Animals) Rules 2021* that apply in relation to the preparation of the livestock:

have been undertaken by an Accredited Veterinarian (AAV).

OR

will have been undertaken by an AAV by the final AAV inspection date and time listed below.

Date:

Time:

I have invoked the following approved management plan/s for this consignment (write N/A if none):

I have complied with all importing country requirements relating to the consignment that must be complied with (tick the one that applies):

in their entirety.

OR

except for the following listed treatment/s that will be undertaken while loading animals onto trucks (this is only available if part of your approved arrangement and you must list the specific treatment details as required within the importing country requirements).

I have complied with the current version of the Australian Standards for the Export of Livestock (ASEL).

I have complied with the approved ESCAS that applies to this export (if applicable).

No relevant circumstances have changed in relation to the approved ESCAS that applies to this export consignment (if applicable).

I have complied with all requirements under the *Export Control Act* or any other Commonwealth or State or Territory law

5 For the export of sheep between the months of May and October that travel through waters in the Arabian Sea north of latitude 11°N, I declare that (complete if applicable):

the vessel on which the sheep are to be transported is equipped with automatic livestock watering systems that have water receptacles at a height suitable for the sheep.

details of those watering systems are set out in the record of equipment and arrangements attached to the Australian certificate for the carriage of livestock for the vessel issued by the Australian Maritime Safety Authority under Marine Order 43 (Cargo and cargo handling— livestock) 2018.

a heat stress management plan for the proposed export voyage is in place.

bedding (such as straw, shavings or sawdust) of at least 1 tonne for every 10,000 sheep will be provided on the vessel.

the area of the pen space on the vessel for each sheep, and that the pen space for each sheep meets the requirements set out in ASEL.

the pen air turnover for the vessel has been verified by an independent qualified mechanical engineer as required by Section 6-21(1) of the *Export Control (Animals) Rules 2021*.

6 I declare that I will:

transport and load animals in accordance with my approved arrangement (or operations and governance manual), export plan and ASEL and any applicable approved contingency plans.

retain all documents required to support the declarations in this application and provide them to the department on request and/or at audit.

comply and am in a position to comply with the approved ESCAS that applies to this export consignment (if applicable).

I will not load animals onto trucks until I have biosecurity (seaports) clearance and Australian Safety Maritime Authority (AMSA) approval (if required) for the vessel.

OR

I have an approved management plan in my export plan to meet ASEL requirements if biosecurity clearance and AMSA approval (if required) is not given for the vessel after I have loaded animals onto trucks.

Section D – If applicable

An application for pregnancy test certification validity extension has been approved by the department, and any changes in circumstances have been discussed with the relevant regional office (if applicable).

An application for alternative arrangements for the shipboard AAV and/or stockpersons has been approved by the department (*if applicable, select the approval type*):

Alternative arrangements to having an AAV on a voyage.

OR

AAV and stockperson as the same person.

Alternative minimum pen space allocation is being accessed for the following markets (include relevant ASEL table number for pen space allocation):

Market/s:

Section E: Applicant declaration

I declare that I have authority to make this declaration for and on behalf of the applicant.

I declare that the information I have provided is true and correct.

I understand that under sections 66 and 240 of the *Export Control Act 2020*, I am required to, as soon as practicable, provide the department with additional or corrected information, if I become aware that information included in this application form is incomplete or incorrect.

I understand that a person may commit an offence or be liable to a civil penalty if the person makes a false or misleading statement in an application or provides false or misleading information or documents (sections 136.1, 137.1 and 137.2 of the Criminal Code and sections 367, 368 and 369 of the *Export Control Act*).

I understand that under section 66 and section 240 the *Export Control Act*, I am required to, as soon as practicable, provide the department with additional or corrected information, if I become aware that information included in this application changes, is incomplete or incorrect.

Signature (enter signature or type your name)

Date (dd/mm/yyyy)

Full name

Note: Declarant must be listed in management or control

Section F: Privacy notice

‘Personal information’ means any information or opinion about an identified, or reasonably identifiable, individual.

‘Sensitive personal information’ is a subset of personal information and means any information or opinion about an individual’s racial or ethnic origin, political opinion or association, religious beliefs or affiliations, philosophical beliefs, sexual preferences or practices, trade or professional associations and memberships, union membership, criminal record, health or genetic information and biometric information or templates.

The Department of Agriculture, Water and the Environment collects your personal information (as defined in the *Privacy Act 1988*) in relation to this form for the purposes of assessing your application. The department is authorised under the *Export Control Act* to assess your application and related purposes. If you fail to provide some or all of your personal information, we will not be able to process your application.

The department may disclose your personal information to relevant authorities in an importing country and other Australian agencies where necessary for these purposes, provided the disclosure is consistent with the *Privacy Act* and other relevant laws. Your personal information may also be disclosed to relevant employees within your organisation for the purpose of approving your licence and to maintain the currency of your personal information for the purpose of the licence. Your personal information will be used and stored in accordance with the Australian Privacy Principles.

By completing and submitting this form you consent to the collection, use and disclosure of all personal information, including sensitive information, in this form to the relevant authorities in the importing country.

The department has not taken steps to ensure that the relevant authorities in the importing country do not breach the Australian Privacy Principles. This means that:

- relevant authorities in the importing country may not be accountable under the *Privacy Act*
- you may not be able to seek redress under the *Privacy Act*
- you may not be able to seek redress in the overseas jurisdiction.

Relevant authorities in the importing country may not be subject to any privacy obligations or to any principles similar to the Australian Privacy Principles.

See the department’s [Privacy Policy](#) to learn more about accessing or correcting personal information or making a complaint. Alternatively, telephone the department on +61 2 6272 3933.